

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Webler et al.

For: ROTATABLE FERRULES AND
INTERFACES FOR USE WITH AN
OPTICAL GUIDEWIRE

Serial No.: Not Assigned

| Examiner: Not Assigned
| Group Art Unit: Not Assigned
| Customer No.: 23422
| OPTICAL GUIDEWIRE | Customer No.: 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 | 1000 |

Filed: December 18, 2001) DISCLOSURE STATEMENT

Docket No.: 9601-0260

Express Mail Label: EL 730230219 US Mailed on: December 18, 2001

BOX PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO-1449 and request that they be considered by the Examiner. Copies of the cited references are enclosed herewith.

This information disclosure statement is being filed under 37 C.F.R. §1.97(b)(3), therefore no fee is due. The Commissioner is authorized to charge any additional fees and to credit any overpayment of fees which may be required under 37 C.F.R. §1.16 and §1.17 to Deposit Account No. 13-0201, referencing Atty. Docket No. 9601-0260.

By:

Respectfully submitted,

James A. Fox

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 1 OF 1

ATTORNEY DOCKET NO .:

9601-0260

SERIAL NO .:

Not Assigned

APPLICANTS:

Webler et al.

EXAMINER:

Not Assign

FILING DATE:

GROUP ART UNIT:

December 18, 2001

Not Assigned

U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	A1	5,321,501	6/1994	Swanson et al.	356/345	4/1992
	A2	5,459,570	10/1995	Swanson et al.	356/345	3/1993
	A3	5,935,075	8/1999	Casscells et al.	600/474	9/1996
	A4	6,111,645	8/2000	Tearney et al.	356/354	5/1998
	A5	6,134,003	10/2000	Tearney et al.	356/345	2/1996

FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)

OTHER DOCUMENTS

† EX'R INITIAL	*REF.#	Document Description (including author, Title, Date, Pertinent Pages, Ect.)
	C1	Brazinski et al., "Optical Coherence Tomography for Opitcal Biopsy" Circulation 93(6):1206-1213 (3/1996).
	C2	Brazinski et al., "Assessing atherosclerotic plaque morphology: comparison of optical coherence tomography and high frequency intravascular ultrasound" Heart 77:397-403 (1997).
·	СЗ	Brezinski et al., "Optical Biopsy with Optical Coherence Tomography: Feasibility for Surgical Diagnostics" Journal of Surgical Research 71:32-40 (1997).
	C4	Fujimoto et al., "New Technology for High-Speed and High-Resolution Optical Coherence Tomography" Annals New York Acadamy of Sciences 95-107/
	C5	Tearney et al., "Scanning single-mode fiber optic catheter-endoscope for optical coherence tomography" Optics Letters 21(7):543-545 (4/1996).

EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER:

Initial if reference is considered, whether or not citation is in conformance with MPEP 609.

Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

feled 12/18/01

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).